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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/925,737	08/10/2001	Fumio Tokutomi	212734US2	6661

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EXAMINER

POON, KING Y

ART UNIT PAPER NUMBER

2625

DATE MAILED: 09/28/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/925,737	<b>Applicant(s)</b> TOKUTOMI ET AL.	
	<b>Examiner</b> King Y. Poon	<b>Art Unit</b> 2625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 19 July 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 3,7,8,11,13,15,18,20,23,26,29,32 and 34-38 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 3,7,8,11,13,15,18,20,23,26,29,32 and 34-38 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 10 August 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/17/2006 has been entered.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 3, 7, 8, 11, 13, 15, 18, 20, 23, 29, 32, 34-38 rejected under 35 U.S.C. 103(a) as being unpatentable over Hayward et al (US 6,798,997) in view of Vallabh (US 7,054,832).

Regarding claim 3, Hayward et al. (US 6 798 997) teach a print apparatus consumable purchase system (column 1, lines 8-16) comprising: a print apparatus (column 4, line 10 & fig 2, peripheral 10) for printing on a print medium, a display capable of displaying information concerning the print apparatus (fig. 3 & column 5, lines 24-39, display screen for print apparatus purchase system displays information concerning the peripheral 10); and a controller for displaying a status screen (figs 3 & 4,

Art Unit: 2625

& column 5, lines 23-24 & 62-63, a display screen is used to display screen associated with the status of the peripheral 10) for representing consumption degree of a consumable used with the print apparatus on the display (fig 3 & column 5, lines 23-39, the display includes an "X" indicator to indicate a status condition in peripheral 10, wherein, column 5, lines 6-7, status conditions in peripheral 10 indicate a need for consumable replacement, which, column 8, lines 26-31, is based off of consumable level. In Specification, Applicant defines a consumption degree of a consumable as an "ink remaining amount." Therefore the indicator "X" taught by Hayward et al. and shown on the display in fig 3 represents an ink/toner remaining amount because it represents a low level) and displaying one (buy now, fig. 4) of a plurality of options (options to select buying from a preferred retailer, column 8, lines 60-65, options to buy now, options to have a diagnostics perform first before buying, column 7, lines 35-52, options to buy from a manufacturer, options to pay with a credit card, options for overnight express shipment, etc, column 8, lines 1-10) concerning the purchase mode (buying) of the consumable in the status screen (fig. 4), an option calling button (buy now button, column 8, lines 55-65) for calling the plurality of options concerning the purchase mode of the consumable in the status screen (column 8, lines 60-63).

NOTE: Although not specifically mentioned, a controller is inherently included in the system taught by Hayward et al. Without a controller, the functions associated with display of information as outlined above would not be available in the print apparatus consumable purchase system. Therefore the teachings of Hayward et al. inherently included a controller as outline above.

Art Unit: 2625

Hayward further teaches a plurality of options to be selected, by using browser display (column 7, lines 65-67), of delivery purchase option (column 8, lines 47-48) and a store purchase option (column 8, lines 60-63, retailer is well known in the art to be in the form of a store, (official notice)).

Hayward does not show the screen of ordering through delivery purchase option and through a store (retailer).

Vallabh, in the same area of online ordering (column 14, lines 35-40) teaches a screen of ordering options includes a delivery purchase option and a store purchase option represent by a corresponding delivery purchase button and a store purchase button (pickup, delivery, fig. 9), and for store purchase, the customer would be able to pick up in the store to save some money or obtained the product immediately. (fig. 11, see minus in price adjustment, and immediate pickups, column 8, line 40).

Therefore, it would have been obvious to a person with ordinary skill in the art at the time the invention was made to have modified Hayward to include: a screen of ordering options includes a delivery purchase option and a store purchase option represent by a corresponding delivery purchase button and a store purchase button, and for store purchase, the customer would be able to pick up in the store to save some money or obtained the product immediately such that Hayward's invention would be able to be probably functioned (ordering product using a screen without a screen is impossible) and the user would be able to obtain the print cartridge immediately for urgent print job such as a student would be able to print a report, that would determined

Art Unit: 2625

whether the student would need to retake the course again next semester or won't be able to graduate on time, at 8:am in the morning to be turned in at 11 am the same day.

Regarding claim 7: Hayward teaches when the option calling button is selected, the controller connects to a predetermined server through a network and causes a screen to be presented, the screen displaying the plurality of options from the server and displays the screen on the display options from the server and displays the screen on the display (column 7, line 54 – column 8, line 12 and column 8:lines 54-63, when BUY NOW button is selected, a connection to server 40 is made, which provides a user screen with a plurality of purchase options: preferred distributor or not preferred distributor).

Regarding claim 8, Hayward et al. (US 6 798 997) teach the print apparatus consumable purchase system as claimed in claim 3, wherein when the option calling button is selected (column 7, line 54 – column 8, line 12 and column 8:lines 54-63, when BUY NOW button is selected, a connection to server 40 is made, which provides a user screen with a plurality of purchase options: preferred distributor or not preferred distributor), the controller displays the plurality of options on the display (column 8, lines 60-65) and if any option is selected from among the plurality of options, the controller connects to a predetermined server through a network and acquires a order screen to be present (column 8, lines 1-15).

Although Hayward does not mention the presented screen is corresponded to the selected option from the server, it would have been obvious to a person with ordinary

Art Unit: 2625

skill in the art the order screen that allows user to order the parts must correspond to the user selection of whether the user want to order from the preferred retailer.

Regarding claim 11, Hayward et al. (US 6 798 997) teach the print apparatus consumable purchase system as claimed in claim 9, wherein if the delivery purchase button is selected, the controller acquires an ordering screen for ordering the consumable from a predetermined server through a network and displays the screen on the display (column 7, line 54 - column 8, line 12, a purchase order screen is acquired from a predetermined server 40 and displayed to the user).

Regarding claim 13, Hayward et al. (US 6 798 997) teach the print apparatus consumable purchase system as claimed in claim 11, wherein if the delivery purchase button is selected, the controller acquires a screen to be presented, the screen containing one of a selling condition input field for entering selling condition information (column 7, line 66 - column 8, line 2, the user enters the selling conditions) and a selling condition disclosure field for disclosing selling condition information (column 8, lines 9-11, selling conditions are disclosed on the screen) as the ordering screen from a predetermined server through a network and displays the screen on the display.

Regarding claim 15, Hayward et al. (US 6 798 997) teach the print apparatus consumable purchase system as claimed in claim 13, wherein at least one of in-stock and out-of-stock concerning the consumable and availability of empty vessel collection service is disclosed in the selling condition disclosure field (column 8, lines 9-10, a confirmation display is sent to the user's display after, column 8, lines 49-53, confirming availability of inventory, which provides the in-stock disclosure).

Regarding claims 18, 20: Hayward et al. (US 6 798 997) teach the print apparatus consumable purchase system as claimed in claim 11, wherein if the delivery purchase button is selected (fig 4 & column 7, line 54, BUY NOW button), the controller acquires a screen containing a retrieval key information input field for entering user's place retrieval key information as an ordering screen for ordering the consumable from a predetermined server through a network and displays the screen on the display (column 7, line 54 - column 8, line 25, user information concerning user location address is input in ordering screen that has been downloaded from predetermined server 40 after selecting the BUY NOW button), and the controller transmits the user's place retrieval key information entered in the retrieval key information input field to the server (column 8, lines 48-49, server receives user information) so as to receive place-dependent selling condition information extracted by the server based on the user's place retrieval key information (column 8, lines 46-54, based on user information, server 40 processes the order by shipping directly to the user or forwarding the order for local delivery from a retailer local to the user. In the teachings of Hayward et al. the place-dependent selling condition is delivery method that is based on user location and that changes depending on the location of the shipper in relation to the user. Along with delivery method, a confirmation differs based on user location relative to local distributors) and displays the received place-dependent selling condition information on the display (column 7, line 67 - column 8, line 12, purchase order confirmation is displayed, which would indicate confirmation of delivery method acceptance information).



Regarding claim 23, Hayward et al. (US 6 798 997) teach the print apparatus consumable purchase system as claimed in claim 11, wherein if the store purchase button is selected, the controller causes a screen to be presented for providing the dealer information from a predetermined server through a network and displays the screen on the display (column 7, line 54 - column 8, line 12 & column 8, lines 54-63, BUY NOW button, i.e. store purchase button, is selected and a display that provides dealer information is provided from a server 40 and for the user).

Regarding claims 29, 32, 34: Hayward et al. (US 6 798 997) teach print apparatus consumable purchase system as claimed in claim 11, wherein if the store purchase button is selected (fig. 4 & column 7, line 54, BUY NOW button), the controller acquires a screen containing a retrieval key information input field for entering user's place retrieval key information (column 8:lines 48- 49, server receives user information that has been input by user and displays the screen on the display (column 7, lines 60-65, purchase order screen is provided to user)), and the controller transmits the user's place retrieval key information entered in the retrieval key information input field to the server (column 8, lines 48-49, server receives user information that has been input by user) so as to receive place-dependent dealer information extracted by the server based on the user's place retrieval key information (column 8, lines 46-54, based on user information, server 40 processes the order by shipping directly to the user or forwarding the order for local delivery from a retailer local to the user. In the teachings of Hayward et al. the place-dependent selling condition is delivery method that is based on user location and that changes depending on the location of the shipper in relation to

Art Unit: 2625

the user. Along with the delivery method, a confirmation differs based on user location relative to local distributors) and displays the received place-dependent dealer information on the display (column 7, line 67 - column 8, line 12, purchase order confirmation is displayed, which would indicate confirmation of delivery method acceptance information).

Regarding claim 35, Hayward et al. teaches, wherein the consumable is a coloring material cartridge (fig 4, magenta ink level indicates that the consumable is a coloring material cartridge. Also see column 9, lines 40-42).

Regarding claims 36, 38: Hayward et al. (US 6 798 997) teach a program stored in a computer readable medium (inherent) for causing a computer to function as control means (column 4, lines 10-20, the program is installed on computer to interact with printer consumable purchasing system shown in fig 2).

Regarding claim 37, Hayward et al. teaches, wherein the consumable is a coloring material cartridge (fig 4, magenta ink level indicates that the consumable is a coloring material cartridge. Also see column 9, lines 40-42).

4. Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hayward in view of Vallabh (US 7,054,832) as applied to claim 23 above, and further in view of Benjamin et al (US 6,113,208).

Regarding claim 26, Hayward et al. teach the print apparatus consumable purchase system as claimed in claim 23, but do not teach wherein the dealer

Art Unit: 2625

information contains at least one of address information and map information for locating a dealer place.

However, Benjamin et al. teach dealer information containing an address displayed to the user (column 3, lines 42-45).

Accordingly, it would have been obvious to one skilled in the art at the time of the invention to have use the address information taught by Benjamin et al. in the provision of dealer information taught by Hayward et al. because it allows the user to locate suppliers nearby for more ordering flexibility.

### ***Response to Arguments***

5. Applicant's arguments filed 6/19/2006 have been fully considered but they are not persuasive.

With respect to applicant's argument that Hayward does not disclose a store purchase button and a delivery purchase button, has been considered.

In reply: Hayward further teaches a plurality of options to be selected, by using browser display (column 7, lines 65-67) and buttons (fig. 3, fig. 4), of delivery purchase option (column 8, lines 47-48) and a store purchase option (column 8, lines 60-63, retailer is well known in the art to be in the form of a store, (official notice)).

Hayward does not show the screen of ordering through delivery purchase option and through a store (retailer).

Vallabh, in the same area of online ordering (column 14, lines 35-40) teaches a screen of ordering options includes a delivery purchase option and a store purchase option represent by a corresponding delivery purchase button and a store purchase button (pickup, delivery, fig. 9), and for store purchase, the customer would be able to pick up in the store to save some money or obtained the product immediately. (fig. 11, see minus in price adjustment, and immediate pickups, column 8, line 40).

Therefore, it would have been obvious to a person with ordinary skill in the art at the time the invention was made to have modified Hayward to include: a screen of ordering options includes a delivery purchase option and a store purchase option represent by a corresponding delivery purchase button and a store purchase button, and for store purchase, the customer would be able to pick up in the store to save some money or obtained the product immediately such that Hayward's invention would be able to be probably functioned (ordering product using a screen without a screen is impossible) and the user would be able to obtain the print cartridge immediately for urgent print job such as a student would be able to print a report, that would determined whether the student would need to retake the course again next semester or won't be able to graduate on time, at 8:am in the morning to be turned in at 11 am the same day.

Note: it seems like all references has been formally acknowledged by Examiner Kyle Pendergrass on 3/3/2005 for the IDS of November 6, 2001. The examiner requests the applicant to identify the references that is not acknowledged such that those references would be formally acknowledged.


***Conclusion***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to King Y. Poon whose telephone number is 571-272-7440. The examiner can normally be reached on Mon-Fri 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward Coles can be reached on 571-272-7402. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

September 23, 2006

  
KING Y. POON  
PRIMARY EXAMINER